

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul J. Mantey, et al.

Confirmation Number:

Application No.:

Examiner:

Filing Date: Sept. 12, 2003

Group Art Unit:

Title: Communications Bus Transceiver

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
  - ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
  - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
  - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EV315703370US**

Date of Deposit **Sept. 12, 2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: Amy Comau

Respectfully submitted,

Paul J. Mantey, et al.

By

Robert Plotkin, Esq.

Attorney/Agent for Applicant(s)

Reg. No. **43,861**

Date: **Sept. 12, 2003**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200309970-1

APPLICATION NO.

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APPLICANT

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GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,381,667 B1	Apr. 30, 2002	Brown	
	1B	6,199,131 B1	Mar. 6, 2001	Melo et al.	
	1C	6,189,131 B1	Feb. 13, 2001	Graef et al.	
	1D	6,182,267 B1	Jan. 30, 2001	Kidd et al.	
	1E	6,145,036	Nov. 7, 2000	Barenys et al.	
	1F	6,141,715	Oct. 31, 2000	Porterfield	
	1G	6,104,876	Aug. 15, 2000	Daum et al.	
	1H	6,061,600	May 9, 2000	Ying	
	1I	5,911,084	Jun. 8, 1999	Jones et al.	
	1J	5,895,494	Apr. 20, 1999	Scalzi et al.	
	1K	5,805,790	Sep. 8, 1998	Nota et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	JP200399395A	Apr.4, 2003	OKI Electric Ind Co		X
	1M	JP2002269033	Sep.20, 2002	Toshiba Corp.		X
	1N	EP1195688A2	Apr.10, 2002	Broadcom Corporation		
	1O	WO02/01347A2	Jan.3, 2002	Telefonaktiebolaget		
	1P	WO02/01347A3	Jan.3, 2002	Telefonaktiebolaget		

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	The I2C-Bus Specification, Version 2.1, January 2000, <a href="http://www.semiconductors.philips.com/acrobat/literature/9398/39340011.pdf">http://www.semiconductors.philips.com/acrobat/literature/9398/39340011.pdf</a>
	1R	
	1S	

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	2A	5,729,767	Mar. 17, 1998	Jones et al.	
	2B	5,590,299	Dec. 31, 1996	Bennett	
	2C	5,530,946	Jun. 25, 1996	Bouvier et al.	
	2D	5,418,914	May 23, 1995	Heil et al.	
	2E	5,276,864	Jan. 4, 1994	Hernandez et al.	
	2F	4,999,838	Mar. 12, 1991	Horikawa	
	2G				
	2H				
	2I				
	2J				
	2K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L	WO01057680A3	Aug. 9, 2001	Philips Semiconducto		
	2M	JP2001005775	Jan. 12, 2001	NEC Eng Ltd.		X
	2N	JP2000076182	Mar. 14, 2000	Hitachi Ltd.		X
	2O	EP0533429B1	Feb. 23, 2000	Intel Corporation		
	2P	JP11015700A2	Jun. 20, 1997	NEC Corp.		X

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

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**PATENT APPLICATION**

Sheet 3 of 5

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>200309970-1</b>	APPLICATION NO.	CONFIRMATION NO.
APPLICANT <b>Paul J. Mant y, et al.</b>			
FILING DATE <b>Sept. 12, 2003</b>		GROUP	

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	3A			
	3B			
	3C			
	3D			
	3E			
	3F			
	3G			
	3H			
	3I			
	3J			
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**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
3L JP09114750A	May 2, 1997	Mitsubishi Electric		X
3M WO96/13774	May 9, 1996	AST Research, Inc.		
3N EP0572146A2	Dec.1, 1993	Hewlett-Packard Comp		
3O JP5216811A2	Aug.27, 1993	NCR Internatl Inc.		X
3P EP0226353B1	Apr.7, 1993	Advanced Micro Devic		

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

3Q	
3R	
3S	

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Sheet 4 of 5

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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	4L	JP04178754A	Jun.25, 1990	NEC Corp.		X
	4M	JP04172537A2	Jun.19, 1992	Hitachi Ltd.		X
	4N	JP04163659A	Jun.9, 1992	Toshiba Corp.		X
	4O	JP04147347A2	May 20, 1992	NEC Commun Syst Ltd		X
	4P	JP02257358A2	Oct.18, 1990	NEC Commun Syst Ltd		X

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

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	4S	

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	5L	EP0366434A2	May 2, 1990	Apollo Computer, Inc		
	5M					
	5N					
	5O					
	5P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	5Q	
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